Warehouse Inspection Reports- Base Metals.
By MCXCCL External Audit Agency

Warehouse Inspection Reports of March-2026

INDEX

1. Warehouse Inspection Reports by MCXCCL External Audit Agency for **Base Metals**- March-2026 (Reports- 01 to 05)

ALFRED H KNIGHT

Warehouse Audit Report

Date of Regular/Surprise Audit	16/03/2026	**Delivery Centre**	Kolkata
Time in and Time out	15:30 Hrs to 18:40 Hrs	**Address of Warehouse**	Alka Business LLP, Jalan Complex, Gate-01, Lane -06, Jangalpur, Howrah-711411
Name of Warehouse	Steinweg-Alka Business LLP		
Name of Auditor	Mr. Saurav Ghosh	**Name of Warehouse Manager**	Mr. Saikat Chaudhary
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Mr. Suyog Thukrul On 26-02-2026	**Capacity of Warehouse**	3000.00 MT
		Capacity Utilization of warehouse (%)	80%

Stock Reconciliation:

Stock Quantity as on 12/03/2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Aluminium Mini	Bundles	Bundles	Bundles		
i. Valid Stock	Aluminium-1387	Aluminium-1259	Aluminium-1259		
ii. Under QC					
iii. Rejected		Aluminium Mini 17 Bundle	Aluminium Mini 17 Bundle		Closing stock including rejected bundle
iv. FED					
v. Non-exchange	Aluminium Mini-1387	Aluminium Mini-1276	Aluminium Mini-1276		Aluminium Mini-111 Bundle Delivered on 16/03/2026

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Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Zinc Mini	Bundles	Bundles	Bundles		
i. Valid Stock	Zinc Mini-09	Zinc Mini-08	Zinc Mini-08		
ii. Under QC					
iii. Rejected					
iv. FED					
v. Non-exchange	Zinc Mini-09	Zinc Mini-08	Zinc Mini-08		Zinc Mini-01 Bundle delivered on 16/03/2026

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Observations/Recommendations:

1. NIL

Verification status of Previous Audit:

By MCXCCL audit conducted on 26 Feb 2026 : Nil Audit Observations
By MCXCCL External audit conducted by JHS & Associates LLP as on 22 Sep-2025 : Nil Audit Observations reported
By WSP Internal Quarterly audit conducted on 06 Jan 2025 : Nil Audit Observation reported
By WSP External audit conducted by M/s. JHS & Associates LLP as on 03 Jan 2026 : Nil Audit Observations reported.

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Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	Not Found
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

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13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	Yes
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

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Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes 22/12/2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02
6	Indicate number of security guards deployed shift wise.	02 Nos Security Guards (12 Hrs)
7	Mention name of the Security Agency offering security services at the WH	G Visioner SecurityAgency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	WH Staff & Security carrying their ID cards only.
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside the warehouse
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Not found
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	04
	b. No. of Sand Buckets	20
	c. Capacity of water tank available as per operational guidelines?	12,000 Ltr
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	(Yes 02 Nov 2026)
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes on (04/07/2025)

15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	Not Available
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Inside 3.0 MT Yes 30 April 2026.
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Name of WB- Maa kaali WB valid till 16 Oct 2026 and Balaji WB valid till 03 Dec 2026	
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	NA
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	Yes
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

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Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Yes
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

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Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes (Boundary Wall)
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	NA
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	NA
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes from 16 Dec 2025 onwards
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	06 NOS Ventilators available
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Inside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes

17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on 18/01/2025 (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

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Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Available
6	Whether the following details are mentioned in sticker or not?	
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	Printed
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	No
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No strap broken
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No such case
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	No such case
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

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Inspection Details

Date of Inspection	16/03/2026	
Signature		
Inspection Done By	Saurav Ghosh	
Maker		Checker

FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

MIRZA MOSHARRAF ALAM

Digitally signed by MIRZA MOSHARRAF ALAM
Date: 2026.04.19 15:18:51 +05'30'

ISSUED WITHOUT PREJUDICE
FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

Alfred H Knight India Private Limited
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Warehouse Audit Report

Date of Regular/Surprise Audit	23/03/2026	**Delivery Centre**	Raipur
Time in and Time out	11:00 AM to 05;30 PM	**Address of Warehouse**	Amrit Udyog Pvt Ltd, Sondongri, Industrial Area, Raipur
Name of Warehouse	Steinweg- Amrit Udyog Pvt Ltd		
Name of Auditor	Kumar Roshan	**Name of Warehouse Manager**	Mr Jefrin Samer Kumar
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Anil Kumar SSIPC 06/03/2026	**Capacity of Warehouse**	3200 MT
		Capacity Utilization of warehouse (%)	42.00%

Stock Reconciliation:

Stock Quantity as on 18 March 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminum Sows					
i. Valid Stock	56	56	56	-	Quantity in lots
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	
Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminum T Bar					Quantity in lots
i. Valid Stock	116	116	116	-	
ii. Under QC	-	-	-	-	

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iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	
Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminum Mini					
i. Valid Stock	600	600	600	-	Quantity in lots
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	

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Observations/Recommendations:

Nil

Verification status of Previous Audit:

By MCXCCL audit conducted on 27-02-2026- Lot cards were not placed on Aluminum Mini (Deposited from 20-02-2026 to 27-02-2026) and Aluminum (Sow Bar) and (T Bar) (Deposited from 24-02-2026 to 27-02-2026 and Strap found broken in 10 bundle of Aluminum mini- Current status- Nil observation
By MCXCCL External audit conducted by JHS on 29 Sep 2025 – Nil Audit Observations reported.
By WSP Internal audit conducted on 07-01-2026 Nil Audit Observations reported.
By WSP External audit conducted M/s. JHS as on 10-01-2026 - Nil Audit Observations reported

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Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

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13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	NA
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	NA
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	No such cases found
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Only maintained on register
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	NA

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Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	03
6	Indicate number of security guards deployed shift wise.	01 day 01 night
7	Mention name of the Security Agency offering security services at the WH	Rajesh Agency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside for duty
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	08
	b. No. of Sand Buckets	30
	c. Capacity of water tank available as per operational guidelines?	Available 700 liter
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes on (24/11/2025)
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes on (24/11/2025)

16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes on (24/11/2025)

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes 31/01/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	Yes
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Inside 3 MT
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Name of the weighbridge	Digitec
B	Weighbridge Capacity	3000 Kg
C	Expiry Date	10/09/2026
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No such cases found
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	No
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Mr. Anil Kumar 06 Mar 2026
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

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Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	NA
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

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Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes (Outside Warehouse)
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes (Available from 23 Dec 2025 onwards)
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes 11
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	100 cm

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16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

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Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on 18/01/2025 (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

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Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes available
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	NA
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No such case observed
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	NA

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16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	NA
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No such case observed

Inspection Details

Date of Inspection	23/03/2026	
Signature		
Inspection Done By	Kumar Roshan	
Maker		Checker

FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

MIRZA MOSHARRAF ALAM

Digitally signed by MIRZA MOSHARRAF ALAM
Date: 2026.04.16 13:25:21 +05'30'

ISSUED WITHOUT PREJUDICE
FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

ALFRED H KNIGHT

Warehouse Audit Report

Date of Regular/Surprise Audit	19/03/2026	**Delivery Centre**	Palwal, Haryana
Time in and Time out	12:30 PM to 3:30 PM	**Address of Warehouse**	Tatarpur, Asaoti, Palwal, Hr 12/02/2026
Name of Warehouse	Jics- Naveen Sharma & Deepak Sharma		
Name of Auditor	Kumar Roshan	**Name of Warehouse Manager**	Mr Govind
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Suyog MCXCCL on 12 Feb 2026	**Capacity of Warehouse**	2400 MT
		Capacity Utilization of warehouse (%)	19.19%

Stock Reconciliation:

Stock Quantity as on 17 March 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Lead Mini					
i. Valid Stock	453	453	453	-	
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	

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Observations/Recommendations:

Nil observations

Audit Summary:

During audit stock verification was carried and it was observed that stock as per MCXCCL record warehouse record and PV are matching. All process are being followed properly overall warehouse operation found satisfactory.

Verification status of Previous Audit:

By MCXCCL audit conducted on 12 Feb 2026- Nil Audit Observations reported.
By MCXCCL External audit conducted by M/s. JHS Associates as on 03 Sept 2025– Nil Audit Observations reported.
By WSP Internal audit conducted on 31 Dec 2025 - Nil Audit Observations reported.
By WSP External audit conducted M/s. JHS as on 02 Jan 2026 - Nil Audit Observations reported.

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Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes proper stacking
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes layout display
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No spillage and damage
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

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13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	No such cases found
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	NA
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	NA

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Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02
6	Indicate number of security guards deployed shift wise.	02 (01 day 01 night))
7	Mention name of the Security Agency offering security services at the WH	Suraksha
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Yes
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	04
	b. No. of Sand Buckets	20
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes expiry (25/06/2026)
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes

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15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes (01/12/2025)
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	01/12/2025

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	01/12/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	Yes
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	Yes
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	Outside
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Yes Manual weighscale 03 MT capacity valid up to 12 Aug 2026	
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No such cases found
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes Mr Keshav Kumar 06 Mar 2026
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

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Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	All register updated available
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	NA
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

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Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes (Outside Warehouse)
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes (Available from 19 Dec 2025)
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes proper coverage
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes wall in good condition
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes ventilator available 6, 6
13	Whether the WH office is inside the godown? (not acceptable for cotton)	No
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes

16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Drainage available
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

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Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on 18/01/2025 (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

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Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes available
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes available with all details
3	Whether the copy of Invoice available for all deposits?	Yes available
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	No imported goods
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes available
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes physically sound
9	Whether mixing of bundle(s) of different brands are observed?	No mixing observed
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes, inspection done
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No such case observed
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No such case observed
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	NA

16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	NA
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No such case observed

ALFRED H KNIGHT

Inspection Details

Date of Inspection	19/03/2026	
Signature		
Inspection Done By	Kumar Roshan	
Maker		Checker

FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

MIRZA MOSHARRAF ALAM

Digitally signed by MIRZA MOSHARRAF ALAM
Date: 2026.04.19 15:19:57 +05'30'

ISSUED WITHOUT PREJUDICE
FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

ALFRED H KNIGHT

Warehouse Audit Report

Date of Regular/Surprise Audit	21/03/2026	**Delivery Centre**	Raipur
Time in and Time out	10:30 AM to 2:00 PM	**Address of Warehouse**	Opp. Best Price, Ring Road No-2, Bhanpuri, Raipur Chhattisgarh - 493221.
Name of Warehouse	Jics- Purushottam Patel Warehouse		
Name of Auditor	Kumar Roshan	**Name of Warehouse Manager**	Mr Arunendra
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Sadeepmaan Jics Agency	**Capacity of Warehouse**	2500 MT
		Capacity Utilization of warehouse (%)	65.77%

Stock Reconciliation:

Stock Quantity as on 18 March 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminium Sows					
i. Valid Stock	23	23	23	-	Quantity in lots
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	

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Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Aluminium Mini	Bundles	Bundles	Bundles		
1. Aluminium					
i. Valid Stock	1197	1197	1197	-	Quantity in lots
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	
Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Aluminium T Bar	Bundles	Bundles	Bundles		
1. Aluminium					
i. Valid Stock	71	71	71	-	Quantity in lots
ii. Under QC	-	-	-	-	
iii. Rejected	-	-	-	-	
iv. FED	-	-	-	-	
v. Non-exchange	-	-	-	-	

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Observations/Recommendations:

Nil

Audit Summary:

Verification status of Previous Audit:

By MCXCCL audit conducted on 26-02-2026- Proper demarcation for stored goods is not evident- current status- Nil observations.
By MCXCCL External audit conducted by JHS on 27-09-2025 Nil Audit Observations reported.
By WSP Internal audit conducted on 02- 01-2026 Nil Audit Observations reported.
By WSP External audit conducted M/s. JHS as on 03-01-2026 Nil Audit Observations reported.

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Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Packing is as per MCXCCL guidelines
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes layout display
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	NA

13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	NA
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	NA
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	No
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Not maintained on register
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	No

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Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02
6	Indicate number of security guards deployed shift wise.	Shift Wise Day/Night
7	Mention name of the Security Agency offering security services at the WH	Royal Spark
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Appointed proper uniform entry checking restricted being followed
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Stationed at warehouse entrance
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Complaint/Warehouse entrance
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	04
	b. No. of Sand Buckets	20
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes valid working (25/06/2026)
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes (01/12/2025)

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16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes (01/12/2025)
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes (01/12/2025)
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	No
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	NA
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No LPG cylinder inside
24	Availability of standard weights with calibration certificate at warehouse	Available
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	(> 15 MT)
A	calibrated weighscale 03MT valid up to 30-01-2027	
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	NA
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	No
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes Mr Govind 19/03/2026
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

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Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	All register updated available
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	NA
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

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Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No infestation observed
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes (Outside Warehouse)
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes (Available from 16 Dec 2025)
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	CCTV covers weighment area
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Walls plastered and maintained
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	6 ventilators 6 airvents
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Office outside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes

16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Load capacity certificate available
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Office space sufficient

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Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on 18/01/2025 (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

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Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	COA Available
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Packing list available
3	Whether the copy of Invoice available for all deposits?	Invoice available
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	NA
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Producer sticker available
6	Whether the following details are mentioned in sticker or not?	All details on stickers available
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Material physically sound
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes, record available
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Yes
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	No
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	NA

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16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	NA
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	As per approval capacity
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No restricted brand stock

Inspection Details

Date of Inspection	21/03/2026	
Signature		
Inspection Done By	Kumar Roshan	
Maker		Checker

FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

MIRZA MOSHARRAF ALAM

Digitally signed by MIRZA MOSHARRAF ALAM
Date: 2026.04.16 13:28:56 +05'30'

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FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

ALFRED H KNIGHT

Warehouse Audit Report

Date of Regular/Surprise Audit	16/03/2026	**Delivery Centre**	Kolkata
Time in and Time out	11:30 Hrs to 14:50 Hrs	**Address of Warehouse**	Tirupati Balaji Earthworks Pvt Ltd Sakrail Industrial Park, Howrah
Name of Warehouse	Jics- Tirupati Balaji Earthworks Pvt Ltd		
Name of Auditor	Mr. Saurav Ghosh	**Name of Warehouse Manager**	Mr Rajkumar Shaw
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Mr. Suyog Thukral On 27-02-2026	**Capacity of Warehouse**	6400 sq/ft 1200.00 MT
		Capacity Utilization of warehouse (%)	10%

Stock Reconciliation:

Stock Quantity as on 12/March/2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminium Mini bars					
i. Valid Stock	02	02	02		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. Non-exchange	Aluminium Mini-02 Bundle	Aluminium Mini-02 Bundle	Aluminium Mini-02 Bundle		

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Observations/Recommendations:

1. NIL

Verification status of Previous Audit:

By MCXCCL audit conducted on 27 Feb 2026- Producer stickers not available on Aluminium Mini bundles and Proper demarcation for stored goods is not evident- Current status- Nil observations
By MCXCCL External audit conducted by M/s. JHS as on 22 Sep 2025– Nil Audit Observations reported
By WSP Internal audit conducted on 05-01-2026- Nil Audit Observations reported
By WSP External audit conducted M/s. JHS as on 02-01-2026 - Nil Audit Observations reported

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Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	NA

12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	Yes
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No lien stock
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

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Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes 29/12/2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02 staff
6	Indicate number of security guards deployed shift wise.	02 Security guards (each 12 Hrs)
7	Mention name of the Security Agency offering security services at the WH	PIS Security Managememt
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	WH Staff & Security carrying their ID cards only.
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside the warehouse
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	YES
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	06
	b. No. of Sand Buckets	30
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes (27/03/2026)
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes on (27/01/2026)

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15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	27/01/2026
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	27/01/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	100.00 MT
A	Name- JICS Logistics Ltd, Capacity – 03 MT	Inside
B	Name- Jai Maa Kali, Weighbridge, Capacity- 100 MT	Outside
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	NA
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	Yes
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Mr. Meghdip on 09/03/2026
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Iron Structure warehouse

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Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	YES
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Yes
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

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Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes (Boundary Wall)
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	NA
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	NA
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes 25/12/2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	No ventilation found
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Inside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes

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17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

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Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on 18/01/2025 (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

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Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Available
6	Whether the following details are mentioned in sticker or not?	
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	Printed
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No such cases
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No such cases
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	No such cases
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes

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16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No such cases

Inspection Details

Date of Inspection	16/03/2026	
Signature		
Inspection Done By	Saurav Ghosh	
Maker		Checker

FOR ALFRED H KNIGHT INDIA PRIVATE LIMITED

MIRZA MOSHARRAF ALAM

Digitally signed by MIRZA MOSHARRAF ALAM
Date: 2026.04.16 13:30:13 +05'30'

ISSUED WITHOUT PREJUDICE
FOR ALFRED H KNIGHT INDIA PRIVATE