Warehouse Inspection Reports- Base Metals.
By MCXCCL External Audit Agency

Warehouse Inspection Reports of June-2026

INDEX

1. Warehouse Inspection Reports by MCXCCL External Audit Agency for **Base Metals**- June-2026 (Reports- 01 to 08)

Warehouse Audit Report

Date of Regular/Surprise Audit	16/06/2026	**Delivery Centre**	Chennai
Time in and Time out	01:40 PM – 05PM	**Address of Warehouse**	Door no. 51-4B 116, in Sholavaram village, Orakkadu Road, Chennai600067
Name of Warehouse	JICS GB Lavanya Warehouse		
Name of Auditor	T Asokan	**Name of Warehouse Manager**	Prashant Banne
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Mr. Ramdas (MCXCCL) 08/06/2026	**Capacity of Warehouse**	1500 MT
		Capacity Utilization of warehouse (%)	37%

Stock Reconciliation:

Stock Quantity as 15 June 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Lead	542	542	542	-	-
i. Valid Stock	542	542	542	-	-
ii. Under QC	-	-	-	-	-
iii. Rejected	-	-	-	-	-
iv. FED	-	-	-	-	-
v. Non-exchange	-	-	-	-	-

Observations/Recommendations:

NIL

Audit Summary:

Bundles Available - 542
Physically verified - 542

Verification status of Previous Audit:

By MCXCCL audit conducted on 08 June 2026- Nil audit observation.
By MCXCCL External audit conducted by M/s. Galaxy certification as on 02 Jan 2026- Nil audit observation.
By WSP Internal audit conducted on 04-04-2026- Nil observation.
By WSP External audit conducted by JHS as on 06-04-2026- Nil observation.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	As Per MCXCCL Guidelines.
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	No

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	YES YES
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Two
6	Indicate number of security guards deployed shift wise.	Two
7	Mention name of the Security Agency offering security services at the WH	Swara Security Agency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside for duty
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes, as per WDRA
	a. No. of Fire Extinguishers	06 NOS
	b. No. of Sand Buckets	22 NOS
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	NA
	g. Are the Fire extinguishers placed outside the warehouse?	Outside
	h. Are the sand buckets placed outside the warehouse?	Outside
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Bala Ji weighbridge – 100 MT	20/03/2027
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Yes
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	No
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	No such case
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	As per taken Gram Panchayat
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Grampanchayat
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	COMRIS
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes, is well- protected by boundary wall
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Hygiene cleanliness
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	Yes
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	CCTV available
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	16 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	No
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes Yes Yes Yes Yes Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	No imported goods
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	No
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	16/06/2026	
Signature	T Asokan	
Inspection Done By	T Asokan	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.03 14:21:49 +05'30'

Warehouse Audit Report

Date of Regular/Surprise Audit	22 June 2026	**Delivery Centre**	Kolkata
Time in and Time out	10 AM – 5 PM	**Address of Warehouse**	NH-6, Sankrail Industrial Park, Bhagbatipur, Sankrail, Dhulaghar, Bhagbatipur, Howrah, Kolkata 711302
Name of Warehouse	Steinweg- Jai Mata di Commercial Warehouse		
Name of Auditor	Amit Kumar Ghosh	**Name of Warehouse Manager**	Saikat Choudhary
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Mr.Ramdas 11 June 2026	**Capacity Utilization of warehouse (%)**	16000 MT 3000 MT

Stock Reconciliation:

Stock Quantity as on 20 June 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Differenceif any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminium mini	9 Bundle	9 Bundle	9 Bundle	NA	
i. Valid Stock	Yes	Yes	Yes	NA	
ii. Under QC	NA	NA	NA	NA	
iii. Rejected	NA	NA	NA	NA	
iv. FED	NA	NA	NA	NA	
v. Non-exchange	NA	NA	NA	NA	

Observations/Recommendations:

NA

Audit Summary:

All records are physical stock position of Aluminium insurance are found ok.

Verification status of Previous Audit:

Verification status of Previous Audit:

By MCXCCL audit conducted on 11 June 2026- Nil audit observation.
By MCXCCL External audit conducted on 08 Jan 2026- Nil observations.
By WSP Internal audit conducted on 02-04-2026 - Nil Audit Observations reported.
By WSP External audit conducted –JHS on 04-04-2026 Nil Audit Observations reported

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	No
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	No
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	NA
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	No

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	1 In Head Office, 2 in WH Manger
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	1
6	Indicate number of security guards deployed shift wise.	1 Days 1 Night
7	Mention name of the Security Agency offering security services at the WH	Gvisioners Service
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside for duty
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	20
	b. No. of Sand Buckets	60
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Outside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	05/03/2027 Vigneswara LWB 14/12/2026
A		
B		No
C		No
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Yes
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	No
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	ersion-2.5 Effective Date: Observation 27-Oct-2025
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	No
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	22 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Outside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Yes
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Yes
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	No
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	No
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	22/06/2026	
Signature	Amit Kumar	
Inspection Done By	Amit Kumar Ghosh	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.01 16:59:50 +05'30'

Warehouse Audit Report

Date of Regular/Surprise Audit	16/06/2026	**Delivery Centre**	Chennai
Time in and Time out	10 Am – 12:20 Pm	**Address of Warehouse**	3/67C, Southupakkam Road, Palavayal Village, Redhills, Chennai- 600052
Name of Warehouse	Steinweg Sri Vaari logistics		
Name of Auditor	T. Asokan	**Name of Warehouse Manager**	Mohammed Sarik
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	External Audit by MCXCCL. On 08/06/2026 Mr. Ramdas	**Capacity of Warehouse**	4000 MT
		Capacity Utilization of warehouse (%)	20%

Stock Reconciliation:

Stock Quantity as on 15 June 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Lead	786 Bundles	761 Bundles	761 Bundles	25	Delivered on 15/06/2026 Dv No – 161306202600
I. Valid Stock	786	761	761		
ii. Under QC	Nil	Nil	Nil		
iii. Rejected	Nil	Nil	Nil		
iv. FED	Nil	Nil	Nil		
v. Non-exchange		336	-		Zinc Mini

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www.truequalitycert.com

Observations/Recommendations:

Nil

Audit Summary:

Total Stock Available - 761
Physically Verified - 761

Verification status of Previous Audit:

By MCXCCL audit conducted on 10th April 2026: - Nil Audit Observations.
By MCXCCL External audit conducted by M/s. Galaxy certification as on 02 Jan 2026 - Nil audit observation reported By WSP Internal audit conducted on 01 April 2026- Nil audit observation reported By WSP External audit conducted by JHS as on 02-04-2026 Nil audit observation reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Stoks stored is neat free from dust
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes, as per NCX Norms
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	No straps Broken
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes, as per MCX norms
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes, Adequate alleyways Between stacks and walls available.
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes, Stack Plan Displayed.
6	Whether lot cards are placed on all the stored stocks with up-to-date entries of all transactions within two working days of transaction/receipt creation?	Yes, all stacks having stack cards with update entries.
7	Whether overwriting / corrections are found in lot cards?	No over writing
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material (including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No spillage
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No FED Stock
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No lien
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes, with WHM Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Three
6	Indicate number of security guards deployed shift wise.	Two
7	Mention name of the Security Agency offering security services at the WH	Sivasakthi Security agency.
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes. S Gcarrying ID Cards
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside for Duty
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes, Proper lighting arrangement available.
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc. are displayed at warehouse?	Yes, Sign Board as per MCX norms available.
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	06 Nos
	b. No. of Sand Buckets	30 Nos
	c. Capacity of water tank available as per operational guidelines?	1000 Lts.
	d. Is water pump attached to storage tank with hose pipe?	Yes
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	No
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes, FE IN Working condition and expiry dates visible.
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for firefighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes, Training given by Samuthra Fire Safety System Training given on 28/11/2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	Metal storge not required.
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	No
20	Whether Live Electricity connection found inside the godown where cotton is stored?	No
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No hazardous Stock
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No LPG cylinder
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Calibrated 18/08/2026 Kaveri Scale
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	Vigneswara LWB 14/12/2026
A		
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Yes
27	Whether the list of weighbridges (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	Yes
28	Whether periodic stock audit done by independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	No
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No Overwriting
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Yes
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	COMRIS
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes, well- protected by boundary wall
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes, Hygiene Satisfactory.
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes, CCTV available.
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	16 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes, well are plastered.
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes, adequate ventilators available.
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete floor.
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes, Packing list with details available. Yes Yes Yes 42 nos.
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	No imported goods
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Sticker available.
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No such case.
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Yes
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	16/06/2026	
Signature	T Asokan	
Inspection Done By	T Asokan	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.01 17:04:36 +05'30'

MOISTURE DETAILS OF RANDOM LOTS (In case of Cotton Bales) during audits

WSP & Warehouse Name & Location:			
Sr. No.	**Lot No.**	**Avg. Moisture reading**	**Remarks**
1.			
2.			
3.	NO COTTON STORAGE		
4.			
5.			
6.			
7.			
8.			
9.			
10.			

PV Details

STNO "B" – 4*4 = 16+2 =18
3+2 = 5
4*4+3 = 19
6*5 = 30 (122)
4*4+2 = 18
3*2+1 = 7
4+4+2 = 10
4*3+3 = 15

STNO "A"- 4*7+2 = 30
4*6+1 = 25
4*6+1 = 25 (109)
4*6+1 = 25
2 +2 = 4

STNO "K" - 4*7+2 = 30
8*5 = 40
8*5 = 40
5*6+2 = 32 (224)
6*5+2 = 32
5*5 = 25
5*5 = 25

"I" – 8*4 = 32
8*4 = 32
8*4 = 32 (108)
3*4 = 12

"G" - 5*5 = 25
5*6+2 = 32
4 *5 = 20
3*4 = 12
4*5 = 20 (198)
4*3 = 12
4*5 = 20
4*3 = 12
4*7+2 = 30
4*3+3 = 15

Total Stock Available - 761
Physically Verified - 761

Warehouse Audit Report

Date of Regular/Surprise Audit	19/06/2026	**Delivery Centre**	Raipur
Time in and Time out	11:45 Am 4:00 Pm	**Address of Warehouse**	Plot no 9, CISDC Industrial Estate, Sondongari, Raipur - Chhattisgarh 492001
Name of Warehouse	Steinweg Surya Udyog		
Name of Auditor	Dilip Kumar Sharma	**Name of Warehouse Manager**	Mr. Sameer Kumar
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	Mr.Prashant Sinha 11 June 2026	**Capacity of Warehouse**	1000 MT
		Capacity Utilization of warehouse (%)	0%

Stock Reconciliation:

Stock Quantity as on _____(Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Aluminium T - Bar	Bundles Pices	-	-	-	-
i. Valid Stock	Nil	Nil	Nil	Nil	Nil
ii. Under QC	Nil	Nil	Nil	Nil	Nil
iii. Rejected	01	01	01	Nil	Nil
iv. FED	Nil	Nil	Nil	Nil	Nil
v. Non-exchange	Nil	Nil	Nil	Nil	Nil

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92443 18764 | 0731 4248722 | admin@truequality.in
Registered Office : 410-B 4th Floor, Corporate House, RNT Marg Indore (M.P.) 452001
www.truequalitycert.com

Observations/Recommendations:

Nil

Audit Summary:

Verification status of Previous Audit:

By MCXCCL audit conducted on 16-04-2026 Nil Audit Observations reported. By MCXCCL External audit conducted by Galaxy certification on 05-01-2026 Nil Audit Observations reported. By WSP Internal audit conducted on 04-04-2026 Nil Audit Observations reported. By WSP External audit conducted by JHS associates on 04-04-2026 Nil Audit Observations reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Nil MCXCCL Stock
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Nil MCXCCL stock
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Nil MCXCCL stock
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Nil MCXCCL stock
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Nil MCXCCL stock
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Nil MCXCCL stock
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Nil MCXCCL stock
7	Whether overwriting / corrections are found in lot cards?	Nil MCXCCL stock
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	Nil MCXCCL stock
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	Nil MCXCCL stock
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	Nil MCXCCL stock
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	Nil MCXCCL stock
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	No

13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	01=0.650 MT

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	Yes
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	No

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Yes
6	Indicate number of security guards deployed shift wise.	1 Day 1 Night
7	Mention name of the Security Agency offering security services at the WH	Rakesh Kushwaha
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	06
	b. No. of Sand Buckets	30
	c. Capacity of water tank available as per operational guidelines?	Yes
	d. Is water pump attached to storage tank with hose pipe?	Yes
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes 14/04/2027
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes 14/04/2027
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	No
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	10/09/2026
A	Digicon weighbridge – 03 MT	
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Yes
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Nil MCXCCL stock
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Nil Stock
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Nil Stock
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	All
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Nil MCXCCL stock
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Nil MCXCCL stock
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Nil MCXCCL stock
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Nil MCXCCL stock
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Nil MCXCCL stock
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Nil MCXCCL stock

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Nil MCXCCL stock
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Nil MCXCCL stock
3	Whether the copy of Invoice available for all deposits?	Nil MCXCCL stock
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Nil MCXCCL stock
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Nil MCXCCL stock
6	Whether the following details are mentioned in sticker or not?	Nil MCXCCL stock
	• Producer/ manufacturer name	Nil MCXCCL stock
	• Net Weight	Nil MCXCCL stock
	• Batch No (printed/sticker/stenciled/laser?)	Nil MCXCCL stock
	• Purity	Nil MCXCCL stock
	• Date of Manufacturing/ Production	Nil MCXCCL stock
	• Number of Pieces of Ingots/ sheets in each bundle	Nil MCXCCL stock
7	Whether batch number/lot number is hand written?	Nil MCXCCL stock
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Nil MCXCCL stock
9	Whether mixing of bundle(s) of different brands are observed?	Nil MCXCCL stock
10	Whether the annual Inspection of electrical points done or not? Check the record.	Nil MCXCCL stock
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Nil MCXCCL stock
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Nil MCXCCL stock
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Nil MCXCCL stock
14	Is there any sign of corrosion in stored stocks?	Nil MCXCCL stock
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Nil MCXCCL stock
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Nil MCXCCL stock
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Nil MCXCCL stock
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Nil MCXCCL stock

Inspection Details

Date of Inspection	19./06/2026	
Signature	Dilip Kumar Sharma	
Inspection Done By	Dilip Kumar Sharma	
Maker		Checker – Mr. Vinod Kumar Sahu

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.01 17:02:14 +05'30'

92443 18764 | 0731 4248722 | admin@truequality.in
Registered Office : 410-B 4th Floor, Corporate House, RNT Marg Indore (M.P.) 452001
www.truequalitycert.com

Warehouse Audit Report

Date of Regular/Surprise Audit	15/06/2026	**Delivery Centre**	Thane
Time in and Time out	03:20 PM – 05 Pm	**Address of Warehouse**	Survey No 41/8, 41/5, 42/2, 42/3 at Kalambusare Village, Post Chirner, Taluka Uran, Dist. Raigad, Navi Mumbai – 400702
Name of Warehouse	JICS Whitespace Logistics Pvt.Ltd.		
Name of Auditor	Ajay Sahani	**Name of Warehouse Manager**	Vikash Singh
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Rutik Patil (01 June 2026)	**Capacity of Warehouse**	6500 MT
		Capacity Utilization of warehouse (%)	75%

Stock Reconciliation:

Stock Quantity as on 12 June 2026Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Copper					
i. Valid Stock	1628	1628	1628		
ii. Under QC	160	160	160		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. Non-exchange	27	27	27		

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Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Zinc					
i. Valid Stock	25	25	25		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. Non-exchange	5	5	5		

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	(Bales/ Bags/Drums/Bundle/Bars)	(Bales/Bags/ Drums/ Bundle/Bars)	(Bales/ Bags/Drums/Bundle/Bars)		
1. Nickel					
i. Valid Stock	-	-	-		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. Non-exchange	27	27	27		

Observations/Recommendations:

NA

Audit Summary:

As on Date of Audit 15/06/2026

Verification status of Previous Audit:

By MCXCCL audit conducted on 02 April 2026- Nil audit observation reported.
By MCXCCL External audit conducted date: -Not yet to conducted audit
By WSP Internal conducted on 02 April 2026- Nil audit observation reported.
By WSP External audit conducted on 04 April 2026 by JHS – Nil audit observation reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up-to-date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Yes
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Yes
6	Indicate number of security guards deployed shift wise.	Yes, 1 day-1 night
7	Mention name of the Security Agency offering security services at the WH	Shre Raj Agency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Out Side
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	
	a. No. of Fire Extinguishers	08 Nos
	b. No. of Sand Buckets	42 Nos
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	29/03/2027
	g. Are the Fire extinguishers placed outside the warehouse?	No
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	23/05/2026
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes

16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	23/05/2026

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	25/05/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of Calibration	27/12/2026
A	Deeke – 3 Ton Capacity	
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No Such Case
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	Yes
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Yes
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	No
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	NA
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	YES 15 MARCH 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	White Painted
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Inside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes

18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes

18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No such case

Inspection Details

Date of Inspection	15 /06/2026	
Signature	Ajay Sahani	
Inspection Done By	15/06/2026	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.02 10:34:58 +05'30'

Warehouse Audit Report

Date of Regular/Surprise Audit	16/06/2026	**Delivery Centre**	Thane
Time in and Time out	10:00 Am – 01 Pm	**Address of Warehouse**	House number -220, Bldg no- K5, Gala no- 5/1, Survey number -31, Hissa number- 1 , Harihar complex, Vadunavghar, Bhiwandi-421302
Name of Warehouse	Jics Om Harihar Corporation		
Name of Auditor	Ajay Sahani	**Name of Warehouse Manager**	Shivam Panday
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	Mr. Ramdas R (MCXCCL 22 May 2026	Warehouse capacity	5197
		Capacity Utilization of warehouse (%)	50%

Stock Reconciliation:

Stock Quantity as on 15/06/2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Copper					
i. Valid Stock	783	783	783		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. non-exchange	-	-	-		

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Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Zink					
i. Valid Stock	20	20	20		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. non-exchange	64	64	64		
Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Nickel					
i. Valid Stock	04	04	04		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. non-exchange	224	224	224		

Observations/Recommendations:

NIL

Audit Summary:

As on Date Audit 16/06/2026

Verification status of Previous Audit:

By MCXCCL audit conducted on 02-04-2026 - Nil observation reported.
By MCXCCL External audit conducted by Galaxy certification 02-01-2026 Nil observation reported.
By WSP Internal audit conducted on 04-04-2026 (Q3) Nil observation reported.
By WSP External audit conducted by M/s. JHS & Associates as on 09-04-2026- Nil Observation reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes Yes Yes Yes Yes Yes Yes Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes, not Broken.
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes

13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Yes
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes Change 26/12/2025
5	~~Specify the number of WH staffs deployed at the warehouse/warehouse complex.~~	3 employee
6	Indicate number of security guards deployed shift wise.	1 Day 1 Night
7	Mention name of the Security Agency offering security services at the WH	Top Nine Agency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside the warehouse
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	
	a. No. of Fire Extinguishers	08 No's
	b. No. of Sand Buckets	40 No's
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	11/06/2027
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	23/05/2026
15	Whether mock drill for firefighting is conducted at least once in a year? Verify the record of mock drill.	Yes

16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	23/05/2026

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	23/05/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	03 Ton
A	Modal Name – Deeke	12/05/2027
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	No Such Case
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Electronic form
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	No
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Grampanchayat
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Grampanchayat
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Electronic format
13	Whether the valid stocks are as per CCRL/Comris system record?	COMRIS
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	16 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	16/06/2026	
Signature	Ajay Sahani	
Inspection Done By	16/06/2026	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.03 15:34:19 +05'30'

Warehouse Audit Report

Date of Regular/Surprise Audit	15/06/2026	**Delivery Centre**	Thane
Time in and Time out	10:20 Am – 2:30 Pm	**Address of Warehouse**	Property No 781, Survey No 70/5B, Gavhan Phata Chirnar Road, Village – Veshvi, Taluka - Uran, District - Raigad
Name of Warehouse	Steinweg Platinum Logistics		
Name of Auditor	Ajay Sahani	**Name of Warehouse Manager**	Ankit
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	Nilesh Kamble MCXCCL	**Capacity of Warehouse**	13700 MT
		Capacity Utilization of warehouse (%)	85%

Stock Reconciliation:

Stock Quantity as on 12 June 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Copper					
i. Valid Stock	5251	5175	5175		
ii. Under QC	62	62	62		
iii. Rejected	03	03	03		
iv. FED	-	-	-		
v. Non-exchange	-	-	-		
2. Zinc					
i. Valid Stock	1	1	1		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. Non-exchange	-	-	-		

3. Nickel					
i. Valid Stock	34	34	34		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v.Non-exchange	-	-	-		

Observations/Recommendations:

NA

Audit Summary:

NA

Verification status of Previous Audit:

By MCXCCL audit conducted on 22 May 2026- Nil audit observation reported.
By MCXCCL External audit conducted by M/s Galaxy Certification on 31 Dec 2025- Nil audit observation reported.
By WSP Internal audit conducted on 30-03-2026 Nil audit observation reported.
By WSP External audit conducted M/s JHS Inspection as on 06 April 2026: Nil audit observation reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	NA Yes Yes Yes
2b	Metal & Cotton - no straps should be in exchange deliverable stocks	Not broken
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	Not Found
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Yes
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes 11/06/2026
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Yes
6	Indicate number of security guards deployed shift wise.	3 days 2 Night
7	Mention name of the Security Agency offering security services at the WH	Black Penter
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	16 Nos
	b. No. of Sand Buckets	52 Nos
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	09/01/2027
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	01/12/2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	11/05/2026

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	31/05/2026
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes for Base Metals
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	NA
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	Inside Sansui – 05 Ton
A		22/09/2026
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No such case
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	No
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes, Gram Panchayat
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	WDRA 15/01/2031
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes in base metals
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	15 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	White Painted.
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes 24 ventilators
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Outside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes 4.5 Feet
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	15/06/2026	
Signature	Ajay Sahani	
Inspection Done By	Ajay Sahani	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.03 12:12:56 +05'30'

Warehouse Audit Report

Date of Regular/Surprise Audit	16/06/2026	**Delivery Centre**	Thane
Time in and Time out	02 Pm – 5:10 Pm	**Address of Warehouse**	Building H-1 Wing, Gala No.2 & 3, Harihar Complex, Nasik Mumbai Highway, Sonale Village, Taluka: Bhiwandi, District: Thane-421302
Name of Warehouse	Steinweg Harihar Complex Warehouse		
Name of Auditor	Ajay Sahani	**Name of Warehouse Manager**	Aman
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	Ramavtar Verma 02 Jan 2026 (MCXCCL External) and Mr. Deepak Anil Apat on 07 Apr 26 (WSP external	**Capacity of Warehouse**	5300 MT
		Capacity Utilization of warehouse (%)	55%

Stock Reconciliation:

Stock Quantity as on 15/06/2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Copper					
i. Valid Stock	1001	1001	1001		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. non-exchange	156	156	156		

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Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. Nickel					
i. Valid Stock	11	11	11		
ii. Under QC	-	-	-		
iii. Rejected	-	-	-		
iv. FED	-	-	-		
v. non-exchange	02	02	02		

Observations/Recommendations:

NIL

Audit Summary:

As on date Audit 16/06/2026

Verification status of Previous Audit:

By MCXCCL audit conducted on 22 May 2026- Nil observations
By MCXCCL External audit conducted by M/s Galaxy certification on 02-01-2026 Nil observation.
By WSP Internal audit conducted on 06-04-2026 : Nil observations
By WSP External audit conducted on 07-04-2026 JHS Associates Nil observations

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up-to-date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	Not Found
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	NA

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Yes
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Yes
6	Indicate number of security guards deployed shift wise.	1 Days 2 Night
7	Mention name of the Security Agency offering security services at the WH	Alert Security Agency
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside for Duty
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	12 No's
	b. No. of Sand Buckets	30 No's
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	NA
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	11/10/2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	11/05/2026

17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	11/10/2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	No
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	No
20	Whether Live Electricity connection found inside the godown where cotton is stored?	No
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	30/12/2026
A	Nawi – Electronics Scale – Max Capacity – 5000 Kg	
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	Yes, Inside the warehouse
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	No
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes, WDRA License
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes Electronic format
13	Whether the valid stocks are as per CCRL/Comris system record?	COMRIS
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes WDRA license

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes as no cracks
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	16 March 2026
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes, outside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Yes, Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Inspection Details

Date of Inspection	16/06/2026	
Signature	Ajay Sahani	
Inspection Done By	Ajay Sahani	
Maker		Checker

Reetesh Kumar Jain

Digitally signed by Reetesh Kumar Jain
Date: 2026.07.09 12:19:24 +05'30'