Warehouse Inspection Reports- Base Metals.
By MCXCCL External Audit Agency

Warehouse Inspection Reports of Jan-2026

INDEX

1. Warehouse Inspection Reports by MCXCCL External Audit Agency for **Base Metals**- January-2026 (Reports- 01 to 07)

Warehouse Audit Report

Date of Regular/Surprise Audit	02.01.2026	**Delivery Centre**	Chennai
Time in and Time out	02.00pm to 03:30 PM	**Address of Warehouse**	Door no.51-4 B/116 shalovaram village ,orakkadu road Chennai-67
Name of Warehouse	Jics Logistics Ltd-GB Lavanya Warehouse		
Name of Auditor	Radhakrishnan PV	**Name of Warehouse Manager**	Mr. Azarudhin
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	Auditor Mr. Suyog Thuklur By MCXCCL audit conducted on 24 Oct 2025 - Nil audit observations	**Capacity of Warehouse**	3000MT
		Capacity Utilization of warehouse (%)	Nil stock

Stock Reconciliation:

Stock Quantity as on 01 Jan 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Base Metal	Bundles	Bundles	Bundles	NIL	
1. Base Metal	NIL	NIL	NIL	---	---
i. Valid Stock	NIL	NIL	NIL	---	---
ii. Under QC	NIL	NIL	NIL	---	---
iii. Rejected	NIL	NIL	NIL	---	---
iv. FED	NIL	NIL	NIL	---	---

v. Non - exchange	NIL	NIL	NIL	---	

Observations/Recommendations:

Nil observations

Audit Summary:

Nil observations

Verification status of Previous Audit:

-By MCXCCL audit conducted on 24 Oct 2025 - Nil audit observations. -By MCXCCL External audit conducted by JHS as on 15 July 2025.- Crack noticed on warehouse wall, floor and one of the pillars. Current status-Nil observations. -By WSP Internal audit conducted on 04 Oct 2025 .- Nil audit observations -By WSP External audit conducted on 03 Oct 2025 -by JHS- Nil audit observations.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Nil stock
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Nil stock

2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	NA
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Nil stock
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Nil stock
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Nil stock
6	Whether lot cards are placed on all the stored stocks with up-to-date entries of all transactions within two working days of transaction/receipt creation?	Nil stock
7	Whether overwriting / corrections are found in lot cards?	---
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	Nil stock
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Nil stock
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	Nil stock

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	Nil stock
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	Nil stock
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	Nil stock
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	Nil stock
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Nil stock
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Nil stock
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Nil stock

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register? one	One unit Supervisor In HO
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	YES
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	YES
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	YES 26.12.2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	ONE
6	Indicate number of security guards deployed shift wise.	Nil stock
7	Mention name of the Security Agency offering security services at the WH	Ms. Suraksha.
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc.? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes. Proper inspection at Gate. ID Card available.
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	NA
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	YES
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc. are displayed at warehouse?	YES
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	YES
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Bucket 20 NOS Cylinder 4 NOS

	a. No. of Fire Extinguishers	4 nos.
	b. No. of Sand Buckets	20 nos.
	c. Capacity of water tank available as per operational guidelines?	300; litre
	d. Is water pump attached to storage tank with hose pipe?	YES
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NIL
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	17-04-2026
	g. Are the Fire extinguishers placed outside the warehouse?	Outside
	h. Are the sand buckets placed outside the warehouse?	YES
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	YES, on 01.06.2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	YES, on 01.06.2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	YES attended on 01.06.25 – Operational Training. record available.
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Nil Stock
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	NA
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	NA

23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouseNA	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / ONAutside complex)-for base metals – 3 /5 MT capacity (Yes/No)	NA
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	3 MT Capacity	
B	Next verification	17.02.2026
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Nil stock
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Nil stock
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	YES

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all	Nil stock

	beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Nil stock
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Nil stock
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Nil stock
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Nil stock
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Nil stock
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Nil stock
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Nil stock
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes

10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Nil stock
13	Whether the valid stocks are as per CCRL/Comris system record?	Nil stock
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Nil stock

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is	Yes

	available at cotton/kapas warehouse?	
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	NA
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes from 02 Oct 2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	4 NOS
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes approx. 5mtr
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NIL
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on ..... (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

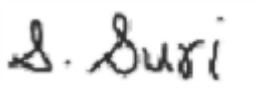
Annexure- 1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Nil stock
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Nil stock
3	Whether the copy of Invoice available for all deposits?	Nil stock
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Nil stock
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Nil stock
6	Whether the following details are mentioned in sticker or not?	Nil stock
	• Producer/ manufacturer name	
	• Net Weight	
	• Batch No (printed/sticker/stenciled/laser?)	
	• Purity	
	• Date of Manufacturing/ Production	
	• Number of Pieces of Ingots/ sheets in each bundle	
7	Whether batch number/lot number is hand written?	Nil stock
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Nil stock
9	Whether mixing of bundle(s) of different brands are observed?	Nil stock
10	Whether the annual Inspection of electrical points done or not? Check the record.	Nil stock

11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Nil stock
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Nil stock
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Nil stock
14	Is there any sign of corrosion in stored stocks?	Nil stock
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Nil stock
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Nil stock
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Nil Stock
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Nil stock

Annexure 2 Inspection Details

Date of Inspection	02.01.2026	
Signature	Ram Avtar verma	
Inspection Done By	Ram Avtar Verma	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	**02/01/2026**	**Delivery Centre**	**Thane**
Time in and Time out	**10:30 AM /2:00PM**	**Address of Warehouse Building**	**H-1 wing gala No. 2&3 Harihar complex Nashik , Mumbai Highway Sonale Village Tal. Bhiwandi Dist. Thane 421302**
Name of Warehouse	**Steinweg-Harihar complex warehouse**		
Name of Auditor	**Ram Avtar Verma**	**Name of Warehouse Manager**	**Sh. Amaan Momin**
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	**11/12/2025** **Shri. Suyog Thukrul MCXCCL**	**Capacity of Warehouse**	**2500 MT.**
		Capacity Utilization of warehouse (%)	**80% Approx.**

Stock Reconciliation:
Stock Quantity as on 30 Dec 2025(Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles	Bundles	
1. Copper Cath.					
i. Valid Stock	326	326	326	0	
ii. Under QC	0	0	0	0	
iii. Rejected	1	1	1	0	
iv. FED	0	0	0	0	
v. Non-exchange (Copper wire road	0	0	0	0	

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles	Bundles	
1.Nichel cathode					
i. Valid Stock Total	7	7	7	0	
ii. Under QC	0	0	0	0	
iii. Rejected	35	35	35	0	
iv. FED	0	0	0	0	
v. Non-exchange (Copper wire road	0	0	0	0	

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles	Bundles	
1 Zinc ingots					
i. Valid Stock Total	1000	1000	1000	0	
ii. Under QC	0	0	0	0	
iii. Rejected	434	434	434	0	
iv. FED	0	0	0	0	
v. Non-exchange (Copper wire road	0	0	0	0	

Observations/Recommendations:

No Observations

Audit Summary:

No Observations

Verification status of Previous Audit:

By MCXCCL audit conducted on 11 Dec 2025 –Nil observations
By MCXCCL External audit conducted by M/s JHS & Associates LLP on 15 July 2025- Roof leakages found in warehouse. Same observation was verified during the subsequent audit conducted in month of 25 August 2025 and found closed.
By WSP Internal audit conducted on 1st October 2025: Nil observations
By WSP External audit conducted on 03-10-2025: Nil observations.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes, Not Broken
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of	Yes

	lots?	
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	Not found
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	No
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No.
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	No.
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No.
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes

19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No, like hypothecation / lien / pledge
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes, 1 set of keys with warehouse manager & 1 set of keys with head office
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes,7 lever Navtal Brand
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes, change from 31/12/2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02
6	Indicate number of security guards deployed shift wise.	01 in day & 1 in Night
7	Mention name of the Security Agency offering security services at the WH	Alert Security forces
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Out site
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes

13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	8
	b. No. of Sand Buckets	30
	c. Capacity of water tank available as per operational guidelines?	N.A.
	d. Is water pump attached to storage tank with hose pipe?	N.A.
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	N.A
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes , 01.06.2026
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes , 11/10/2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes , 11/10/2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes , 24/11/2025
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes , 11/10/2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	N.A
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	N.A
20	Whether Live Electricity connection found inside the godown where cotton is stored?	- No.
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	- No.
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	N.A
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	N.A
24	Availability of standard weights with calibration certificate at warehouse	Yes

25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	Yes , 30,12,2026
A	Name of weighbridge	Ricelake
B	Capacity	05.M.T.
C	Expiry dt. of calibration	30.12.2026
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	- No.
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NO
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes by, Ankit Gaur dt. 01/12/25
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes.

Observation on Warehouse Record Keeping

Sr. No.	**Parameters**	**Observation**
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes

	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes, But not required
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	Yes
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes Available
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Not. Deposited Partial Lot.
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes , Gram Panchayat NOC Valid up to 26 /02/ 2026 Also Applied for WDRA License.
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Applied for WDRA
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	Not found at the time of audit
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes

5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	Not Required
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes, Footage is available from 04/09/2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes, Yellow painted
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes , Air Inlets – 8
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Outside the godown
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes, Approx. 3.5 Feet
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation

1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	Not Required, stored Non Agri. Commodity
1a	Is moisture meter available at warehouse?	N.A.
1b	Moisture meter last calibrated on ……. (dd/mm/yyyy)	N.A.
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	N.A.
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	N.A.
4	Is Reference sample duly sealed & signed by the WH official/in charge?	N.A.
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	N.A.
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	N.A.
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	N.A.
8	Is record for courier of samples to the assayer available?	N.A.
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes , 30,09,2025
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No. Broken straps
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No.
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No

15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes , Nichel Drums = 16 Nos.
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Not. Any

Inspection Details

Date of Inspection	02.01.2026	29.01.2026
Signature	RAM AVTAR VERMA	S. Suri
Inspection Done By	RAM AVTAR VERMA	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	**08/01/2026**	**Delivery Centre**	**KOLKATA**
Time in and Time out	**10.40 AM to 13.45 PM**	**Address of Warehouse**	**NH-6, Sankrail Industrial Park, Bhagbatipur, Sankrail, Dhulaghar, Bhagbatipur, Howrah, West Bangal, 711302**
Name of Warehouse	**Steinweg Jai Matadi Commercial Pvt. Ltd.**		
Name of Auditor	**Supriyo Kayal**	**Name of Warehouse Manager**	**Saikat Chowdhury**
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	**06/01/2026 Mr. Ram Das MCXCCL**	**Capacity of Warehouse**	**400 MT**
		Capacity Utilization of warehouse (%)	**1.25%**

Stock Reconciliation:
Stock Quantity as on 01 Jan 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1. **Aluminum Mini**	MT	MT	MT	MT	
i. Valid Stock	5	5	5	0	
ii. Under QC	0	0	0	0	
iii. Rejected	109	109	109	0	
iv. FED	0	0	0	0	
v. Non-exchange	2	2	2	0	

Observations/Recommendations:

no observation

Audit Summary:

Overall, the audit was satisfactory and some improvements are recommended.

Verification status of Previous Audit:

By MCXCCL audit conducted- 06 Jan 2026- Nil Audit Observations reported
By MCXCCL External audit conducted– New WH accredited on 28th Sept 2025.
By WSP Internal audit conducted on 04 Oct 2025 - Nil Audit Observations reported
By WSP External audit conducted - New WH accredited on 28th Sept 2025.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse	Yes

	floor?	
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Yes
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	NA
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	NA
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	NA

16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	NA
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	NA
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	NA

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes, 30/12/2025, Key number 93080
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	Total 2 no, One number per shift
6	Indicate number of security guards deployed shift wise.	Total 2 no, One number per shift
7	Mention name of the Security Agency offering security services at the WH	KCR INFRASTRUCTURE PVT. LTD.
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Inside
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse?	Yes Office area

	(Pls mention the place of display of the board)	
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	10
	b. No. of Sand Buckets	21
	c. Capacity of water tank available as per operational guidelines?	750 lit
	d. Is water pump attached to storage tank with hose pipe?	Yes
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes 29 July 2026
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes on 04 July 2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes on 02 june 2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes Date 04/12/2025
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes on 04 July 2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA

21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	NA
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	NA
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)-Expiry date of calibration	Yes, Electronic,10-3000 Kg capacity, Calibration Expiry date 30/04/2026
A		
B		
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	NA
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation

1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	NA
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	Yes
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	NA
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes

10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	Not found
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Cleaning record attached.
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is	NA

	available at cotton/kapas warehouse?	
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	NA
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes since 01 Sep 2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	No
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	load bearing capacity certificate?
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	**NA**
1a	Is moisture meter available at warehouse?	**NA**
1b	Moisture meter last calibrated on **05/01/2026** (dd/mm/yyyy)	**NA**
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	**NA**
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	**NA**
4	Is Reference sample duly sealed & signed by the WH official/in charge?	**NA**
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	**NA**
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	**NA**
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	**NA**
8	Is record for courier of samples to the assayer available?	**NA**
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	**No**

Annexure-1
Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes

4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	NA
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	Yes
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Nil
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Yes
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	No
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	No

Annexure-1

Inspection Details

Date of Inspection	08-01-2026	29-01-2026
Signature	Ram Avtar verma	
Inspection Done By	Ram Avtar Verma	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	**02/01/2026**	**Delivery Centre**	**Thane**
Time in and Time out	**14:40 PM /17:00PM**	**Address of Warehouse**	**House No. 220, Building No. K5, Gall No. 5/6, Survey No. 31, Hissa No. 1 Harihar Complex, Vedunavghar Bhiwandi , dist. Thane – 421302 ,**
Name of Warehouse	**Jics Logistics Ltd-Om Harihar Corporation warehouse**		
Name of Auditor	**Ram Avtar Verma**	**Name of Warehouse Manager**	**Sh. Vikas Singh**
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	**By MCXCCL audit conducted on 11 Dec 2025 - Nil observation reported.**	**Capacity of Warehouse**	**5197.902 MT.**
		Capacity Utilization of warehouse (%)	**10% Approx.**

Stock Reconciliation:
Stock Quantity as on 01 Jan 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles	Bundles	
1. Copper Cath.					
i. Valid Stock Total	134	134	134	0	
ii. Under QC	0	0	0	0	
iii. Rejected	0	0	0	0	
iv. FED	0	0	0	0	
v. Non-exchange (Copper wire rod)	1	1	1	0	

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles		
1.Nichel cathod					
i. Valid Stock Total	4	4	4	0	
ii. Under QC	0	0	0	0	
iii. Rejected	0	0	0	0	
iv. FED	0	0	0	0	
v. Non-exchange (Nichel)	77	77	77	0	Zinc-21 bundles and Tin-25

Observations/Recommendations:

no observation

Audit Summary:

No Observation

Verification status of Previous Audit:

By MCXCCL audit conducted on 11 Dec 2025 - Nil observation reported.
By MCXCCL External audit conducted by JHS & Associates LLP 14 July 2025- Nil observation reported.
By WSP Internal audit conducted on 4th October 2025- Nil observation reported.
By WSP External audit conducted by M/s. JHS & Associates as on 3rd October 2025- Nil Observation reported.

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes

2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes, Not Broken
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	Not found
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	No
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA

11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No.
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	No.
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No.
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No

18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	No, like hypothecation / lien / pledge
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes, 1 set of keys with warehouse manager & 1 set of keys with head office
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes,7 lever Navtal Brand
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes, change from 26/12/2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	03
6	Indicate number of security guards deployed shift wise.	01 in day & 1 in Night
7	Mention name of the Security Agency offering security services at the WH	Top 9 Facility
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Out site
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes

13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	8
	b. No. of Sand Buckets	40
	c. Capacity of water tank available as per operational guidelines?	N.A.
	d. Is water pump attached to storage tank with hose pipe?	N.A.
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	N.A
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes , 04.05.2026
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes , 01/12/2025
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes , 01/12/2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes , 01/12/2025
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes , 01/12/2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	N.A
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	N.A
20	Whether Live Electricity connection found inside the godown where cotton is stored?	- No.
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	No.

22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	No.
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	No.
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	**Yes , 27,12,2026**
A	Name of weighbridge	DEE KEE
B	Capacity	03.M.T.
C	Expiry dt. of calibration	27.12.2026
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	- No.
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NO
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Yes by, Pankaj dt. 05/12/25
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes.

Observation on Warehouse Record Keeping

Sr. No.	**Parameters**	**Observation**
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes

2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes, But not required
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes, But not required
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	Yes
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes Available
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Not. Deposited Partial Lot.
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes
10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes , Gram Panchayat NOC Valid up to 21/08/ 2026 .

11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Gram Panchayat License
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	Not found at the time of audit
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	Not Required

9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes, Footage is available from 01/09/2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes,
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes , 6 window , 6 ventilators
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Out side the godown
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes, Approx. 3.0 Feet
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	Not Required, stored Non-Agri. Commodity
1a	Is moisture meter available at warehouse?	N.A.
1b	Moisture meter last calibrated on **05/01/2026** (dd/mm/yyyy)	N.A.

2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	N.A.
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	N.A.
4	Is Reference sample duly sealed & signed by the WH official/in charge?	N.A.
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	N.A.
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	N.A.
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	N.A.
8	Is record for courier of samples to the assayer available?	N.A.
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes

	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes , 15,11,2025
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No. Broken straps
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No.
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes , Nichel Cathod = 77 Nos. 2] Zinc = 21 3] 0 Tip = 25 1] copper wire = 1
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Not. Any

Inspection Details

Date of Inspection	02-01-2026	29-01-2026
Signature	Ram Avtar verma	
Inspection Done By	Ram Avtar Verma	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	**31/12/2025**	**Delivery Centre**	**Thane (Uran)**
Time in and Time out	**10:40 AM /2:30PM**	**Address of Warehouse Prop.**	**781 Survey No. 70/58 Govhan Phate , Chirner Road Village Veshvi , Tal. Uran., Dist. Raigad.**
Name of Warehouse	**Steinweg-Platinum Logistics**		
Name of Auditor	**Ram Avtar Verma**	**Name of Warehouse Manager**	**Sh. Mrunal Joshi**
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency , if external	**10/12/2025 Shri.Ramdass MCXCCL**	**Capacity of Warehouse**	**2500MT.**
		Capacity Utilization of warehouse (%)	**80% Approx.**

Stock Reconciliation:

Stock Quantity as on 29 Dec 2025 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundle	Bundle	Bundle		
1. Zinc Ingot					
i. Valid Stock Total	135	135	135	0	
ii. Under QC	0	0	0	0	
iii. Rejected	0	0	0	0	
iv. FED	0	0	0	0	

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundle	Bundle	Bundle		
1. Copper					
i. Valid Stock Total	581	581	581	0	
ii. Under QC	0	0	0	0	
iii. Rejected	0	0	0	0	

iv. FED	0	0	0	0	
v. Non-exchange (Copper wire road	44	44	44	0	

Observations/Recommendations:

No Observations

Audit Summary:

No Observations

Verification status of Previous Audit:

By MCXCCL audit conducted 10 Dec 2025- Nil audit observation reported. By MCXCCL External audit conducted by M/S.JHS Inspection India Pvt Ltd.11 July 2025 Nil audit observation reported. By WSP Internal audit conducted on 1-10-2025: Nil audit observation reported. By WSP External audit conducted M/s JHS Inspection as on 06 October 2025: Nil audit observation reported

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Yes
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Yes
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Yes

3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Yes
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Yes
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Yes
7	Whether overwriting / corrections are found in lot cards?	No
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	N.A
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	N.A
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	N.A
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	No.
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	No.
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	No
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	No Any
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	No Any
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	No Any
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	No
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been	No.

	recorded?	
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	No

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes, 1 set of keys with warehouse manager & 1 set of keys with head office
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	03
6	Indicate number of security guards deployed shift wise.	04 in day & 2 in Night
7	Mention name of the Security Agency offering security services at the WH	Black Panther
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Out site
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	16
	b. No. of Sand Buckets	30
	c. Capacity of water tank available as per operational guidelines?	N.A.
	d. Is water pump attached to storage tank with hose pipe?	N.A.
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	N.A

	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes , 08.01.2026
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes , 02/06/2025
15	Whether mock drill for firefighting is conducted at least once in a year? Verify the record of mock drill.	Yes , 02/06/2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes , 02/06/2025
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	Yes , 02/06/2025
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	N.A
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	N.A
20	Whether Live Electricity connection found inside the godown where cotton is stored?	- No.
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	- No.
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	N.A
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	Yes
24	Availability of standard weights with calibration certificate at warehouse	Yes
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Yes
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	Yes , 16,04,2026
A	Name of weighbridge	Dee Kee
B	Capacity	05.M.T.
C	Expiry dt. of calibration	22.09.2026
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	- No.
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	N.A
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs?	Yes, Ankit dt. 30/12/25

	(verify with visitor register at the warehouse)	
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	Yes.

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes, But not required
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	Yes
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Not. Deposited Partial Lot.
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes

10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes , Gram Panchayat NOC on 15/10/ 2013 Also Applied for WDRA License.
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Applied for WDRA
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	Not found at the time of audit
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	No
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	Not Required
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes, Footage is available from 01/10/2025
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free	Yes, White washed

	from cracks and crevices?	
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes , Air Inlets – 8
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Out side the godown
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes, Approx. 4.5 Feet
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	Not Required , stored Non Agri. Commodity
1a	Is moisture meter available at warehouse?	N.A.
1b	Moisture meter last calibrated on ……. (dd/mm/yyyy)	N.A.
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	N.A.
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	N.A.
4	Is Reference sample duly sealed & signed by the WH official/in charge?	N.A.
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	N.A.
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	N.A.
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	N.A.
8	Is record for courier of samples to the assayer available?	N.A.
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No.

Annexure-1

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Yes
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Yes
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Yes
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Yes
6	Whether the following details are mentioned in sticker or not?	Yes
	• Producer/ manufacturer name	Yes
	• Net Weight	Yes
	• Batch No (printed/sticker/stenciled/laser?)	Yes
	• Purity	Yes
	• Date of Manufacturing/ Production	Yes
	• Number of Pieces of Ingots/ sheets in each bundle	Yes
7	Whether batch number/lot number is hand written?	No
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Yes
9	Whether mixing of bundle(s) of different brands are observed?	No
10	Whether the annual Inspection of electrical points done or not? Check the record.	Yes , 2,06,2025
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	No. Broken straps
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No.
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Yes
14	Is there any sign of corrosion in stored stocks?	No
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes

16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Copper wire roads 44 Bundles
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Yes
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Not. Any

Inspection Details

Date of Inspection	31.12.2025	13.01.2026
Signature	RAM AVTAR VERMA	S. Suri
Inspection Done By	RAM AVTAR VERMA	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	02.01.2026	**Delivery Centre**	Chennai
Time in and Time out	11.00 AM to 01:02 PM	**Address of Warehouse**	3/67C Sothupakkam Palavayal Village, Red hills, Chennai-52
Name of Warehouse	Steinweg- Sri Vaari Logistics		
Name of Auditor	Radhakrishnan PV	**Name of Warehouse Manager**	Mohammed Salik
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) **Also name of Agency, if external**	11-7-25 Mr. Magesh, External auditor, MCX by Auditor Mr. Ramdas of MCX By MCXCCL audit conducted on 12 Dec 2025 :- Nil audit observation reported	**Capacity of Warehouse**	4000 MT
		Capacity Utilization of warehouse (%)	Now MCX Stock empty. At present only non-exchange goods are maintained.

Stock Reconciliation:
Stock Quantity as on 01 Jan 2026 (Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
	Bundles	Bundles	Bundles	Bundles	
1. **Base Metal**	Nil stock	Nil stock	Nil stock	Nil stock	No Stock
i. Valid Stock	----	----	----	----	\
ii. Under QC	----	----	----	----	

iii. Rejected	----	----	----	----	
iv. FED	----	----	----	----	
v. Non-exchange	----		----	----	Aluminum wire rods - 26 bundles Aluminum billets-18 billet rods Zinc ingots-30 bundles

Observations/Recommendations:

Nil Stock

Audit Summary:

No MCX stock found. At present only non-exchange goods are maintained. Present condition found good.

Verification status of Previous Audit:

By MCXCCL audit conducted on 12 Dec 2025:- Nil audit observation reported
By MCXCCL External audit conducted by M/s. JHS & Associates as on 11 July 2025 - Nil audit observation reported.
By WSP Internal audit conducted on 03 Oct 2025- Nil audit observation reported
By WSP External audit conducted by JHS as on 04-10-2025 Nil audit observation reported

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Nil Stock
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Nil Stock
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	Nil Stock
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Nil Stock
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	Nil Stock
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Nil Stock
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	Nil Stock
7	Whether overwriting / corrections are found in lot cards?	Nil Stock
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	Nil stock
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums	Nil stock

	deposited /retested is done immediately after deposit?	
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	Nil stock
11	Is there any spillage or damage material(including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	Nil stock
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	Nil stock
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	Nil stock
14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	Nil stock
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	Nil stock
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	Nil stock

17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?.	Nil stock
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	Nil stock
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	Nil stock
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Nil stock

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Lock n Key with Mr. Salik
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	key register evidenced. 22.12.2025
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	3 Nos verified
6	Indicate number of security guards deployed shift wise.	1 - day shift,1 - night shift
7	Mention name of the Security Agency offering security services at the WH	Ms. Siva Sakthi Security Services
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Yes. Proper inspection at Gate. ID Card available, attached.
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	Outside the WH.
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Provided and Found ok.
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes

13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	30 Buckets 6 Fire extinguishers
	a. No. of Fire Extinguishers	6 Nos
	b. No. of Sand Buckets	30 Nos
	c. Capacity of water tank available as per operational guidelines?	NA
	d. Is water pump attached to storage tank with hose pipe?	NA
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	NA
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes. 2 nos on 24.03.26 6 nos on 23.10.26
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Last conducted on 28.11.2025
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Mr. Salik attended a training conducted at Mumbai on 24.11.2025. record available.
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	YES. Training conducted on 28.11.25 by Samuthra Fire Safety Systems.
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA
20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA

21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	Yes for base metals
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	NA
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Certificate available. Valid up to 18.08.2026.
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Outside the premise - Kaveri -up to 5MT
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Name of weighbridge	Kaveri weigh bridge
B	Capacity	5 MT
C	Expiry dt. of calibration	18.08.2026
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	Yes
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	Yes
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	YES. Training conducted on 28.11.25 by Samuthra Fire Safety Systems.
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	NA

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation

1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Nil stock
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Nil stock
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Nil stock
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	
	• Warehouse opening-closing Register	Yes
	• Daily Transaction Register	Yes
	• Complaint Register	Yes
	• Stack wise/Lot wise register Physical/Electronic form	Yes
	• PV Register	Yes
	• Instrument/Equipment Internal Calibration Register	Yes
	• Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	• Sample register	Yes
	• Incidence records /Notices register	Yes
	• Lien register	Yes
	• Pest control activity register (NA for Base metal warehouses)	Yes
	• Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	NO
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Nil stock
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Nil stock
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	Nil stock
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Nil stock
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Nil stock

10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	Yes from WDRA Copies available.
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	Yes
13	Whether the valid stocks are as per CCRL/Comris system record?	Yes
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	Yes

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	At present ok. Once case reported due to heavy wind on 27.05.25. Corrective action taken.
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes

7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	Yes
8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	Yes
9a	Whether surveillance cameras (CCTV) are installed at the warehouse?For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	YES. 4 Surveillance cameras
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	YES, from 8th Oct 2025 available.
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	Yes
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	YES, 6 ventilators and 34 air inlets
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Yes
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Yes, concrete flooring.
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	YES, certificate available.
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on **05/01/2026** (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	Nil Stock

2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	Nil Stock
3	Whether the copy of Invoice available for all deposits?	Nil Stock
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	Nil Stock
5	Whether the producer's sticker is available or not on each ingots/ bundle?	Nil Stock
6	Whether the following details are mentioned in sticker or not?	Nil Stock
	• Producer/ manufacturer name	Nil Stock
	• Net Weight	Nil Stock
	• Batch No (printed/sticker/stenciled/laser?)	Nil Stock
	• Purity	Nil Stock
	• Date of Manufacturing/ Production	Nil Stock
	• Number of Pieces of Ingots/ sheets in each bundle	Nil Stock
7	Whether batch number/lot number is hand written?	Nil Stock
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	Nil Stock
9	Whether mixing of bundle(s) of different brands are observed?	Nil Stock
10	Whether the annual Inspection of electrical points done or not? Check the record.	Nil Stock
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	Nil Stock
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	Nil Stock
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	Nil Stock

14	Is there any sign of corrosion in stored stocks?	Nil Stock
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Nil Stock
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Nil Stock
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	Nil Stock
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	Nil Stock

Annexure-1

Inspection Details

Date of Inspection	02-01-2026	29-01-2026
Signature	P V Radhakrishnan	S. Suri
Inspection Done By	P V Radhakrishnan	Sampat Suri
Maker		Checker

Warehouse Audit Report

Date of Regular/Surprise Audit	5 Jan 2026	**Delivery Centre**	Raipur
Time in and Time out	11:19 AM to 2:00 PM	**Address of Warehouse**	Amrit Udyog, Plot No.4, CISDC Industrial Estate, Kabir Nagar, Sondongari
Name of Warehouse	Steinweg Sharaf - Surya Udyog,		
Name of Auditor	Mr. Ajay Sahani	**Name of Warehouse Manager**	Mr. Sameer Kumar
Date of Previous Audit & Name of previous Auditor (MCXCCL/WSP/External) Also name of Agency, if external	BY MCXCCL dated 05.01.2026	**Capacity of Warehouse**	800MT
		Capacity Utilization of warehouse (%)	0%

Stock Reconciliation:

Stock Quantity as on 03 Jan 2026____(Closing balance)

Commodity	Quantity as per MCXCCL	Quantity as per WSP Warehouse record	Quantity as per Physical Verification	Difference if any	Remarks
Aluminium	(Bundles)	(Bundles)	(Bundles)		
Nil stock	Nil stock	Nil stock	Nil Stock	Nil Stock	
i. Valid Stock	NIL	NIL	NIL	NIL	
ii. Under QC	NIL	NIL	NIL	NIL	
iii. Rejected	NIL	NIL	NIL	NIL	
iv. FED	NIL	NIL	NIL	NIL	
v. Non-exchange	136 Wire Bundles				279.869MT

Observations/Recommendations:

Nil observations	

Audit Summary:

Nil observations

Verification status of Previous Audit:

-By MCXCCL audit conducted on 05 Jan 2026- Nil audit observations -By MCXCCL External audit conducted- New warehouse accredited date 15 Oct 2025. -By WSP Internal audit conducted- New warehouse accredited date 15 Oct 2025. -By WSP External audit conducted- New warehouse accredited date 15 Oct 2025

Observations on Stacking

Sr. No.	Parameters	Observation
1	Whether the appearance of the stored stocks is neat and free from dust/stains of oil, rust, cracks etc.?	Nil stock
2a	Whether Packaging condition of stock deposited is as per MCXCCL norms/ procedure guidelines/ relevant circulars as mentioned below? Cotton - Circular no. MCXCCL/WHL/297/2024 dated November 22, 2024 Mentha Oil- MCXCCL Circular No. MCXCCL/WHL/098/2025 dated May 16, 2025 Aluminium: Circular no. MCXCCL/WHL/045/2023 dated February 16, 2023 Lead: Circular no. MCXCCL/WHL/220/2023 dated September 15, 2023 Copper: Circular no. MCXCCL/WHL/868/2020 dated November 23, 2020 Zinc: Circular no. MCXCCL/WHL/044/2023 dated February 16, 2023 Nickel: Circular no. MCXCCL/WHL/868/2020 dated --- November 23, 2020 Note: any subsequent circular issued for above mentioned commodity shall be referred for compliance	Nil stock NA NA Nil stock Nil stock NA NA NA
2b	Metal & Cotton - no straps should be broken in exchange deliverable stocks	NA
3	Whether the stacking of the stock is done as per WDRA guidelines (as applicable) or as per MCXCCL? Whether stock is in countable position, stacking done appropriately & is there any co-mingling of lots?	Nil stock
4	Whether adequate alleyways & gangways between stacks & wall to stacks are kept for easy movement, aeration & chemical treatments, physical verification, etc.?	NA
5	Whether proper stack layout / stacks plan displayed at warehouse floor?	Yes
6	Whether lot cards are placed on all the stored stocks with up to date entries of all transactions within two working days of transaction/receipt creation?	NA
7	Whether overwriting / corrections are found in lot cards?	NA
8	Whether suitable dunnage material, as per good warehousing practices available (except mentha oil/ metals) for stored goods?	NA
9	Whether lot sealing/drum/drum sealing (for Mentha Oil) for all lots/drums deposited /retested is done immediately after deposit?	NA
10	Whether the Warehouse staff checking the seal intactness of stocks every month? (Pls verify lot seal register & Monthly declaration stating seals have been checked)	NA

11	Is there any spillage or damage material (including ingots of strip open bundles in case of Metals) lying spread across the stack or floor? If yes, whether the same is appropriately packed and is kept in demarcated area on the same day?	NA NA
12	Is there a designated area inside the warehouse mentioning the floor area in square feet/meter for FED goods, rejected goods and non-Exchange goods available for storage in the warehouse?	NA
13	Is any FED Stock lying for more than 3 months? / Any rejected stock lying in warehouse? If Yes, whether marking/ tagging is done and lot cards (mentioning rejected or FED stock) is attached on Stock and follow-up with client for lifting of such stocks is done by WSP?	NA NA

14	Is any stock of identical (exchange grade) agri commodity, for which MCXCCL has accredited the warehouse, stored in the warehouse?	NA
15	Is there any other agri commodity which is not in the WDRA registration certificate, stored in the warehouse?	NA
16	Has WSP/Godown owner stored its 'own' commodity in the warehouse?	136 Bundles. Non Exchange
17	Is any stock of identical non-agri commodity stored in MCXCCL accredited Metal warehouse Other than approved commodity?	
18	Whether visual demarcation between MCX deliverable, Non-exchange & Rejected stock is done or not? In case Non Exchange stock kept in MCXCCL accredited warehouse, is it kept with clear demarcation?	NA Yes
19	Whether details like hypothecation / lien / pledge to any financial institutions for the purpose of funding has been displayed on the stock and the same has been recorded?	NA
20	Whether non exchange goods stored in accredited space and approval from MCXCCL is taken and available at warehouse?	Yes

Observations on Warehouse Operations

Sr. No.	Parameters	Observation
1	Whether custody of lock and key of the warehouse/godown is with WSP/WH Owner/others (?)(Pls specify) Whether additional key of Warehouse available at WSP Head office/WSP regional office and details are updated in Key distribution register?	Yes
2	Does WSP use locks 7-lever Navtal brand at shutters of warehouse?	Yes
3	Does the WSP use lock seals at every lock of all shutters which are used for transaction of goods? Whether seal details are captured in register.	Yes
4	Does the warehouse change locks every six months in June and December? Verify the serial number of lock and key, date when it was last changed.	Yes
5	Specify the number of WH staffs deployed at the warehouse/warehouse complex.	02
6	Indicate number of security guards deployed shift wise.	Yes, Day Night Shift wise

7	Mention name of the Security Agency offering security services at the WH	Mr. Rakesh Khuswaha
8	Whether WH staff and Security personnel carrying their identity cards? Whether Security Guard is in proper uniform and with baton, torch, whistle etc? Whether security guard checks for any matchbox, gas lighter, chemicals and inflammable items etc. before allowing visitor to enter in warehouse?	Outside
9	Whether security guard stays inside or outside the warehouse? NA if there are NIL stocks	NA
10	Whether there is proper arrangement of lights in warehouse premises at night time for the security purpose?	Yes
11	Whether proper WSP Sign Board (flex/board/wall-painting) and sign board of MCXCCL accreditation with WSP contact details, No Smoking signage in local dialect, emergency numbers, unauthorized entry, unauthorized parking etc are displayed at warehouse?	Yes
12	Whether the board of "Complaint/feedback register available at warehouse" is displayed at the warehouse? (Pls mention the place of display of the board)	Yes
13	Whether Fire Fighting equipment available as per the WDRA guidelines? Please specify below;	Yes
	a. No. of Fire Extinguishers	04
	b. No. of Sand Buckets	17
	c. Capacity of water tank available as per operational guidelines?	Yes
	d. Is water pump attached to storage tank with hose pipe?	Yes
	e. Availability of water fire hydrant points/sprinkler system for Cotton Bales.	Yes
	f. Whether fire extinguishers are valid & in working condition? Are the expiry dates/next-due date clearly visible?	Yes, Visible on dated 15.02.2027
	g. Are the Fire extinguishers placed outside the warehouse?	Yes
	h. Are the sand buckets placed outside the warehouse?	Yes
14	Whether the warehouse staff have undergone the training of fire safety & handling of firefighting equipment from the date of joining? (Please verify with records)	Yes, All the staff trained for fire fighting
15	Whether mock drill for fire fighting is conducted at least once in a year? Verify the record of mock drill.	Yes
16	Is the Warehouse Manager/ Supervisor of the WSP trained in handling warehousing operations, specifically for MCXCCL? Is the skill enhancement training given to the staff in an interval of 6 months? Verify the training record.	Yes , Verified by me as per record
17	Whether the security personnel have undergone training on fire safety & handling of firefighting equipment from the date of joining? (verify with records and frequency) Mention the name of the official by whom training is provided. Is the follow up training provided on annual basis to the warehouse staff by the WSP	NA
18	Is the arrangement for Prophylactic Measures for Pest Control available at the WH as per WDRA guidelines? (Verify the records of the treatment)	NA
19	Is anti-termite treatment at cotton bales warehouses conducted through MCXCCL specified agencies? (Verify the records of the treatment).	NA

20	Whether Live Electricity connection found inside the godown where cotton is stored?	NA
21	Is the WSP using electricity for weighment purpose only at Mentha oil and metals at warehouses?	NA
22	Whether Storage of hazardous Stock (Like fertilizer, Cement, Chemical etc.) in warehouse premises is done that may affect exchange deliverable stock?	NA
23	Whether LPG cylinder kept inside warehouse or outside warehouse? (Applicable for Mentha oil)	NA
24	Availability of standard weights with calibration certificate at warehouse	Yes , Duly stamped by Concern department. As per Record
25	Availability of functional, Valid and calibrated weighbridge (Inside / Outside complex)-for base metals – 3 /5 MT capacity (Yes/No)	Inside
	Name of weighbridge/manual weigh scale –WB capacity (MT)- Expiry date of calibration	
A	Digi com/ 3 MT	
B	10/9/26	
C		
26	Is a written consent of client and approval from MCXCCL sought in case weighment of the commodity is done on any other weighbridge when MCXCCL approved weighbridges are non-functional?	No
27	Whether the list of weighbridge (accredited weighbridge for cotton bales) along with calibration certificate displayed in the warehouse?	NA
28	Whether periodic stock audit done by Independent team other than of the same warehouse deployed staffs? (verify with visitor register at the warehouse)	Mr. Anil Kumar 02 Dec 2025
29	Whether the storage structure (warehouse) is far away (150 meter) from the source of fire-hazard, such as timber stores, petrol/CNG/PNG pumping stations/LPG bottling plant?	No

Observation on Warehouse Record Keeping

Sr. No.	Parameters	Observation
1	Whether copy of KYD with requisite documents available for all beneficiaries/depositors at warehouse? Mention the names of depositor(s) incase of incomplete documents.	Yes
2	Are the soft copy/digital signed copy/original DTD with requisite documents available at warehouse? Mention the names of depositors incase of incomplete documents.	Yes
3	Whether eNWR/E-receipt is generated based on receipt of original DTD/ photo copy/ DTD Digitally signed by the beneficiary client/ depositor?	Yes
4	Whether the following registers are available and updated at Warehouse or not? Are the records kept in safe custody with lock facility?	Yes
	● Warehouse opening-closing Register	Yes
	● Daily Transaction Register	Yes
	● Complaint Register	Yes
	● Stack wise/Lot wise register Physical/Electronic form	Yes
	● PV Register	Yes
	● Instrument/Equipment Internal Calibration Register	Yes
	1.Gate in gate out cum Visitor Register	Yes
	• Spot Rejection Register	Yes
	● Sample register	Yes
	● Incidence records /Notices register	Yes
	● Lien register	Yes
	● Pest control activity register (NA for Base metal warehouses)	NA
	● Key distribution register	Yes
5	Whether any Overwriting/correction is found in the above Registers? If yes, check whether approval from WSP HO has been obtained or not	No
6	Whether the transaction wise weighbridge receipts for deposit/withdrawal are available at the warehouse or not?	Yes
7	Whether the Commodity deposits & all required documents along with original delivery orders withdrawal document are available at warehouse or not?	Yes
7a	Whether WSP has taken approval from MCXCCL for storage of partial lot created out of overload of lorry in a demarcated area?	NA
8	Whether separate entries are done for Exchange & Non-Exchange stock?	Yes
9	Whether a copy of updated WSP SOP and warehouse operations manual available at the warehouse?	Yes

10	Whether the Warehouse is registered under relevant State/ Central Warehousing Authority/Gram Panchayat/local authority? If Yes, License copy to be available at warehouse.	Yes, Verified and Wh Code mentioned in this section.
11	Whether the Warehouse License copy/ WDRA registration copy is available and displayed at the warehouse?	29/09/30, Valid
12	Whether lot wise beneficiary details and electronic receipts available at the warehouse either in electronic or printed form?	NA
13	Whether the valid stocks are as per CCRL/Comris system record?	NA
14	Whether warehouse maintains Weighment slip for record for all commodities during inward and outward movement?	NA

Observation on WH Infrastructure

Sr. No.	Parameters	Observation
1	Whether Warehouse condition (both internal/external) is in sound and store worthy?	Yes
2	Is there any roof leakage / Infrastructure damage? If yes, whether the incidence record updated in incident register with details?	No
3	Whether the warehouse is well- protected by pucca boundary wall / barbed wire fencing?	Yes/ Boundary Wall
4	Whether warehouse is having good drivable approach road & adequate parking space for vehicles?	Yes
5	Whether flooring is even without major cracks / crevices/ dampness or required major structural repairing?	Yes
6	Whether hygiene & cleanliness inside the warehouse & premises and vegetation cleaning surrounding the warehouse maintained? Whether dusting of stock, cleaning of bird droppings on stacks, floor cleaning and any minor/major structural repair conducted on a periodic basis? Is the record of the house keeping maintained?	Yes
7	Whether any infestation by termites/ white ants and rodents in the buildings and warehouse premises is noticed? Whether annual termite treatment record is maintained at cotton/kapas warehouse? Whether termite treatment certificate is available at cotton/kapas warehouse?	No

8	Whether rat cages are placed inside the warehouse? Whether rodenticides used to control rodents?	No
9a	Whether surveillance cameras (CCTV) are installed at the warehouse? For Cotton bales/Kapas whether cctv camera installed inside the warehouse?	Yes
9b	Whether warehouse maintains 90 days CCTV footage of surveillance? mention the date from which CCTV footage is available.	Yes from 05 Oct 2025 onwards
9c	Whether the cctv camera positioned towards weighment scale inside the warehouse of Base Metals/Mentha Oil? Verify the cctv footage.	Yes
10	Whether Handling equipment available?	NA
11	Whether the walls are properly plastered and painted/white washed and are free from cracks and crevices?	Yes
12	Whether adequate ventilators and air inlets are available? (Mention no. of ventilators and air inlets)	Yes
13	Whether the WH office is inside the godown? (not acceptable for cotton)	Inside
14	Mention type of Flooring : Concrete / Stones / Tiles / / trimix/ Bricks flooring (Mentha Oil WH) /No flooring/Other	Concrete
15	Whether the warehouse has adequate plinth (elevation from ground level) as per WDRA norm?	Yes
16	Whether there are adequate arrangements for drainage of rainwater to avoid flooding?	Yes
17	Whether load bearing capacity certificate available at warehouse? (in case of metal warehouse)	Yes
18	Whether Sufficient office space available for equipment viz. computers with internet facility, telephone and furniture (table, chairs almirah, etc.)	Yes

Observation on Quality Operation

Sr. No.	Parameters	Observation
1	Is cotton bales moisture meter in working condition or Not? If not then has the same been recorded in incident register available at warehouse?	NA

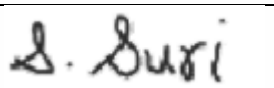
1a	Is moisture meter available at warehouse?	NA
1b	Moisture meter last calibrated on (dd/mm/yyyy)	NA
2	Is inward /outward moisture checked or not? (lot wise moisture record) in case of Cotton	NA
3	Are necessary sampling details updated on sample tag within one working day? (Name of warehouse, date of sampling, stack no, lot No.)	NA
4	Is Reference sample duly sealed & signed by the WH official/in charge?	NA
5	Is signature of beneficiary/Client or authorized personal of the client is taken on reference sample?	NA
6	Is the sample storage area secure and demarcated? Are the samples kept in rack / pallet / in Almirah or trunk in the sample storage area?	NA
7	Whether Reference samples with all relevant details kept in proper custody until the lot is present in the warehouse?	NA
8	Is record for courier of samples to the assayer available?	NA
9	Do the Goods stored come into direct contact with water or excess moisture which can be detrimental to its usability or quality?	No

Checklist w.r.t. MCXCCL Circular/guidelines (Metal)

Sr. No.	Parameters	Observation
1	Whether the Certificate of Analysis (CoA) of the producer at the time of deposits with containing details like Brand name of the associated lots, Producer's name, Batch No & certificate date been collected by warehouse?	NA
2	Whether the packing list for deposited goods are available as per the latest circular or not with following details; - Contains net weight - Contains gross weight - Contains batch no - No. of units in bundles/lot.	NA
3	Whether the copy of Invoice available for all deposits?	Yes
4	Whether the copy of Certificate of Origin and Custom clearance documents are available in case of Imported goods or not?	NA
5	Whether the producer's sticker is available or not on each ingots/ bundle?	NA

6	Whether the following details are mentioned in sticker or not?	NA
	• Producer/ manufacturer name	NA
	• Net Weight	NA
	• Batch No (printed/sticker/stenciled/laser?)	NA
	1. Purity	NA
	• Date of Manufacturing/ Production	NA
	• Number of Pieces of Ingots/ sheets in each bundle	NA
7	Whether batch number/lot number is hand written?	NA
8	Whether the Ingots/ bundle are physically sound and free of harmful/ any defects? (such as segregation, piping, spilt /broken, inclusions or visible contamination of metal)	NA
9	Whether mixing of bundle(s) of different brands are observed?	NA
10	Whether the annual Inspection of electrical points done or not? Check the record.	
11	In case, more than 1 strap is broken, whether re-strapping is done within 07 working days from the date of strap broken & record of the strap break and re-strapping is maintained? In incidence register?	NA
12	Same commodity non-exchange goods shall not be kept mingled in the same warehouse which is used for MCXCCL purposes.	No
13	Whether all re-mated stock physically delivered from the warehouse and not stored as non-exchange/professional goods.	NA
14	Is there any sign of corrosion in stored stocks?	NA
15	Whether the goods stored at MAW are bearing Standard Mark under a license from Bureau of Indian Standards (BIS) for metals where BIS is applicable.	Yes
16	Whether approval for storing non-exchange metal goods of exchange deliverable grades taken from MCXCCL prior to storage? Whether approval sought for storage of non-exchange goods are as per list of approved brands of LME/MCX?	Yes
17	Whether WSP has sought approval for how much quantity to be stored? Whether quantity lying at warehouse are as per the approval taken?	500 M
18	Whether any metal goods of brands stored which is in the restrictive list of LME/MCX and for which approval is not taken?	NA

Inspection Details

Date of Inspection	05.01.2026	13.01.2026
Signature		
Inspection Done By	Mr. Ajay Sahani	Mr. Sampat Suri
Maker		Checker