Details of enforcement action taken by MCXCCL against Clearing Member pursuant to Inspection

Sr.No.	Inspection Period	Name of the Member	Clearing Member Code	SEBI Registration No.	Inspection Type (Regular/Limited Purpose Inspection/SEBI Joint)	Action taken	Amount (In Rs.)
1	2021-2022	ICICI Bank Limited	9550	INZ000197438	Regular	No Violation	
2	2021-2022	Globe Commodities Limited	8550	INZ000024939	Regular	No Violation	
3	2021-2022	Globe Capital Market Limited	8090	INZ000177137	Regular	No Violation	
4	2021-2022	Edelweiss Custodial Services Limited	9020	INZ000177437	Regular	No Violation	
5	2021-2022	East India Securities Ltd	8010	INZ000190836	Regular	No Violation	
6	2021-2022	Orbis Financial Corporation Limited	9350	INZ000165534	Regular	No Violation	
7	2021-2022	SMC Global Securities Limited	8200	INZ000199438	Regular	No Violation	
8	2021-2022	Stock Holding Corporation of India Limited	9250	INZ000241237	Regular	Monetary Penalty	46,747.00
9	2022-2023	Edelweiss Custodial Services Limited	9020	INZ000177437	Regular	Advice	
10	2022-2023	ICICI Bank Limited	9550	INZ000197438	Regular	No Violation	
11	2022-2023	Orbis Financial Corporation Limited	9350	INZ000165534	Regular	No Violation	
12	2022-2023	SMC Global Securities Limited	8200	INZ000199438	Regular	Monetary Penalty	2,500.00
13	2022-2023	Stock Holding Corporation of India Limited	9250	INZ000241237	Regular	No Violation	
14	2022-2023	Globe Capital Market Limited	8090	INZ000177137	Regular	No Violation	
15	2022-2023	Globe Commodities Limited (Ay Securities)	8550	INZ000024939	Regular	No Violation	
16	2023-2024	AY Securities and Commodities Limited	8550	INZ000024939	Regular	No Violation	
17	2023-2024	Bhaijee Portfolio Private Limited	8095	INZ000089933	Regular	Advice	
18	2023-2024	SMC Global Securities Limited	8200	INZ000199438	Regular	No Violation	
19	2023-2024	Globe Derivatives and Securities Limited	9650	INZ000312637	Regular	Advice & Monetary Penalty	2,500.00
20	2023-2024	Mansukh Securities and Finance Limited	8075	INZ000164537	Regular	Advice	
21	2023-2024	Globe Capital Market Limited	8090	INZ000177137	Regular	No Violation	
22	2023-2024	Orbis Financial Corporation Limited	9350	INZ000165534	Regular	No Violation	
23	2023-2024	Ohm Dovetail Private Limited	9850	INZ000178836	Regular	Advice	
24	2023-2024	Marwadi Shares and Finance Limited	8085	INZ000174730	Regular	Monetary Penalty	2,05,205.12
25	2023-2024	Arya Fin Trade Services (India) Private Limited	8060	INZ000181536	Regular	No Violation	
26	2023-2024	Kotak Mahindra Bank Limited	9450	INZ000276847	Regular	Advice	
27	2023-2024	East India Securities Ltd	8010	INZ000190836	Regular	Advice	
28	2023-2024	Stock Holding Corporation of India Limited	9250	INZ000241237	Regular	Advice	
29	2023-2024	Nuvama Clearing Services Limited	9020	INZ000177437	Regular	Advice	
30	2023-2024	ICICI Bank Limited	9550	INZ000197438	Regular	No Violation	
31	2024-2025	Globe Capital Market Ltd	8090	INZ000177137	Regular	Advice	
32	2024-2025	Bhaijee Portfolio Pvt Ltd	8095	INZ000089933	Regular	No Violation	
33	2024-2025	Orbis Financial Corporation Ltd	9350	INZ000165534	Regular	Advice	
34	2024-2025	SMC Global Securities Ltd	8200	INZ000199438	Regular	Advice	
35	2024-2025	Arya Fin Trade Services India Pvt Ltd	8060	INZ000181536	Regular	Advice	
36	2024-2025	Marwadi Shares and Finance Ltd	8085	INZ000174730	Regular	Advice & Monetary Penalty	15,000.00

Details of enforcement action taken by MCXCCL against Clearing Member pursuant to Inspection

Sr.No.	Inspection Period	Name of the Member	Clearing Member Code	SEBI Registration No.	Inspection Type (Regular/Limited Purpose Inspection/SEBI Joint)	Action taken	Amount (In Rs.)
37	2024-2025	East India Securities Ltd.	8010	INZ000190836	Regular	No Violation	
38	2024-2025	ICICI Bank Ltd.	9550	INZ000197438	Regular	No Violation	
39	2024-2025	Nuvama Clearing Services Ltd	9020	INZ000177437	Regular	Advice	
40	2024-2025	Stock Holding Corporation of India Ltd	9250	INZ000241237	Regular	No Violation	
41	2024-2025	Kotak Mahindra Bank Ltd	9450	INZ000276847	Regular	No Violation	
42	2024-2025	Ohm Dovetail Pvt Ltd	9850	INZ000178836	Regular	No Violation	
43	2024-2025	DBS Bank India Ltd	9750	INZ000295046	Regular	Advice	
44	2024-2025	Globe Derivatives and Securities Ltd	9650	INZ000312637	Regular	Advice	