MMJB & Associates LLP

Company Secretaries

Ecstasy, 803-804, 8th Floor, City of Joy, JSD Road, Mulund (W), Mumbai- 400080 (T) 022-21678100

Secretarial Compliance Report of Multi Commodity Exchange Clearing Corporation Limited for the financial year ended 31st March, 2022

To,
The Board of Directors,
Multi Commodity Exchange Clearing Corporation Limited,
Exchange Square, CTS 255,
Suren Road, Andheri (East)
Mumbai 400093

We, MMJB & Associates LLP, Practicing Company Secretaries, have examined:

(a) all the documents and records made available to us and explanation provided by **Multi Commodity Exchange Clearing Corporation Limited** ("the Company")

(b) the filings/ submissions made by the Company,

(c) website of the Company,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

a) The Securities and Exchange Board of India (Listing Obligations and Disclosure requirements) Regulations, 2015 ('Listing Regulation') to the extent as referred in Regulation 33 of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 ('SECC Regulations, 2018')

b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the Review Period);**

c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(Not Applicable to the Company during the Review Period)**

d) Securities and Exchange Board of India (Buy-back of Securities) Regulations 2018; - **(Not Applicable to the Company during the Review Period)**

e) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **(Not Applicable to the Company during the Review Period)**

f) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; **(Not Applicable to the Company during the Review Period)**

g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, to the extent applicable to the company;

h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;

i) The Securities and Exchange Board of India (Stock Exchanges and Clearing Corporations) Regulations, 2018

and circulars/ guidelines issued thereunder. Further, in terms of SEBI circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 in respect of Resignation of statutory auditors from listed entities and their material subsidiaries and based on the above examination, we hereby report that, during the review Period:

(a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	**Deviations**	**Observations/ Remarks of the Practicing Company Secretary**
NIL		

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(c) The following are the details of actions taken against the Company/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circular) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

Sr. No	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE vide email dated January 14, 2022 to Multi Commodity Exchange of India Limited (hereinafter "MCX") promoter Company of MCXCCL	MCX has delayed in uploading the disclosure pertaining to related party transactions on a consolidated basis for half year ended September 30, 2021 by one day, for the first time, during the period under review as required under Regulation 23(9) of SEBI listing regulations	BSE vide email dated January 14, 2022 has imposed Fine of Rs.5900/-	MCX has made request for waiver to the BSE without paying the imposed fine and accordingly BSE vide email dated March 14 2022 granted waiver from paying the fine.

(d) The Company entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

For MMJB & Associates LLP
Practicing Company Secretaries

Digitally signed by SAURABH SANJAY AGARWAL
DN: cn=SAURABH SANJAY AGARWAL, c=IN, st=Maharashtra, o=Personal, serialNumber=19a2848dc057f711c12a829aabbdc4f42ec597b73a95f4066bf8b5bcf4adb50c
Date: 2022.05.21 13:59:54 +05'30'

Saurabh Agarwal
Designated Partner
FCS No. 9290
CP No. 20907
UDIN: F009290D000350499
Peer Review No: 904/2020

Place: Mumbai
Date: 21.05.2022