Rathi & Associates
COMPANY SECRETARIES
A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

ANNUAL SECRETARIAL COMPLIANCE REPORT

of

Multi Commodity Exchange Clearing Corporation Limited *('the Company')*
for the year ended 31st March, 2019

We, Rathi & Associates, have examined:

(a) the documents and records made available to us and explanation provided by the Company and its officers;

(b) the filings/ submissions made by the Company to the Regulatory Authorities; and

(c) website of the Company;

for the year ended 31st March, 2019 ("Reporting Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

(a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, which has been made applicable pursuant to the requirement of Regulation 35 of the erstwhile Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012;

(b) Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (effective till October 2, 2018) and the provisions of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 (effective from October 3, 2018);

and circulars/ guidelines issued there under.

We hereby report that, during the Reporting Period:

a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

RATHI & ASSOCIATES ★ COMPANY SECRETARIES ★ MUMBAI

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations
	Not applicable		

b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

c) The following are the details of actions taken against the Company/ its Promoters/ Directors either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars)* under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of action taken	Observations
1.	Not applicable			

d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31st March, 2018	Actions taken by the Company, if any	Comments of the Practicing Company Secretary on the actions taken by the Company
	Not applicable			

For RATHI & ASSOCIATES
COMPANY SECRETARIES

RATHI & ASSOCIATES ★ MUMBAI ★ COMPANY SECRETARIES

HIMANSHU S. KAMDAR
PARTNER
FCS No: 5171
COP No. 3030

Date: May 24, 2019
Place: Mumbai